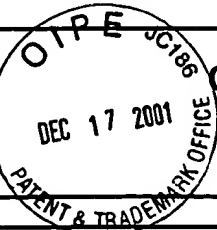


Please type a plus sign (+) inside this box



PTO/SB/29 (8/98) (modified)  
Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.  
(Only for continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ **DUPLICATE**

### ADDRESS TO:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No. KANA.P0001C

First Named Inventor Gainey, et al.

Examiner Name D. Le

Group / Art Unit 2177

Express Mail Label No. EV052625188US

**RECEIVED**

**DEC 26 2001**

**Technology Center 2100**

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09/193,833, filed on 11/17/98, entitled Method and Apparatus for Performing Enterprise Email Management.

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR §1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. §154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR §1.53(d), but must be filed under CFR 37 §1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR §1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR §1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR §1.78(a).

1. ☐ Enter the unentered amendment previously filed on \* under 37 CFR §1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR §1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
.....  
.....
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:  
a. ☐ PTO-1449  
b. ☐ Copies of IDS Citations

12/26/2001 AWONDAF1 00000046 09193833

01 FC:131

740.00 OP

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	13 - 20 =	0	X \$18.00 =	0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	2-3 =	0	X \$84.00 =	0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$0.00 =	0
	Extension for reply within second month			+ \$400.00 =	400
				BASIC FEE (37 CFR 1.16(a))	\$740.00
	Total of above Calculations =				\$1140
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.				
	TOTAL =				\$1140

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-1128:
- a. ☒ Fees required under 37 §CFR 1.16.
- b. ☒ Fees required under 37 §CFR 1.17.
- c. ☒ Fees required under 37 §CFR 1.18.
8. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
9. ☒ A credit card payment form is enclosed.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☒ New Attorney Docket Number, if desired: KANA.P0001C  
*[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided here.]*
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☒ Other: Petition for an Extension of Time

**NOTE:**

*The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.*

**12. NEW CORRESPONDENCE ADDRESS**

Dag Johansen  
Registration No. 36,172

Customer No. 23349

Stattler Johansen & Adeli LLP

P.O. Box 51860

Palo Alto, California 94303-0728

Telephone: (650) 934-0470, ext. 101

Facsimile: (650) 934-0475

Dated: December 17, 2001

Respectfully Submitted,

By: \_\_\_\_\_

Dag Johansen, Reg. No. 36,172

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EVO526251805 Date of Deposit: December 17, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dag Johansen